

## International Shipping Export Controls for China, Russia and Venezuela

The U.S. government has implemented expanded export license and export filing requirements for certain [exports to China, Russia, and Venezuela](#). **You must notify the Export Control Officer prior to shipping** anything, other than routine business documents (visa paperwork, books, published manuscripts, etc.), to these countries.

### Pre-shipment notification to the U.S. government

Some consumer electronics and research related equipment that are being physically exported or hand-carried to China, Russia and Venezuela will now require an export filing in the Census Bureau's Automated Export System (AES), regardless of the shipment's value or whether an export license is required. The AES filing only applies to hand-carried items if it is being exported to another entity/individual. "Tools of the trade" (computers, phones, etc.) that will return to the U.S. with the traveler don't generally need to be reported. If an AES filing is needed, it must be made by the Export Control Officer or via American Cargo Services, (for a fee). FedEx, UPS, and other shippers will not fulfill this filing requirement. Many common consumer products are listed on the Commerce Control List and include laptops, tablets, cell phones, cameras, etc.

Monica Woltmon, the campus Export Control Officer ([exportcontrol@research.ucsb.edu](mailto:exportcontrol@research.ucsb.edu)), can assist you with an export filing determination and will also determine whether a license/license exception is required/available.

### Expanded export license requirements

Some items, information (see below for exclusions) and software that fall into the broad categories below may now require an export license for these three countries. Your Export Control Officer can assist you with a determination as to whether an export license is required from the federal government.

- Materials, Chemicals, Microorganisms, and Toxins
- Materials Processing
- Electronics Design, Development and Production
- Computers
- Telecommunications Information Security
- Sensors and Lasers
- Navigation and Avionics
- Marine
- Propulsion Systems, Space Vehicles and Related Equipment

Please be aware that export licenses can take **2 months or longer** to obtain from the federal government and the government may deny your request. Contact the Export Control Officer early for assistance to avoid delays.

For more information on the legal and administrative requirements for international shipping, please see the [UCOP website on International Shipping](#).

### **Exclusions for Information**

Technology that qualifies as publicly available in one of these three ways, does not require an export license. Technology is defined as technical information beyond general and basic marketing materials about a controlled commodity.

- Information that arises through fundamental research where the information is ordinarily published is considered publicly available, and;
  - Information contained in a patent or published patent application, and;
  - Information that is published and generally accessible or available to the public
- Please see [UCOP's Frequently Asked Questions about Export Controls](#) for more details.

Thank you,

**Monica Woltmon**

Export Control Officer Office of Research Integrity [exportcontrol@research.ucsb.edu](mailto:exportcontrol@research.ucsb.edu)